

#9 11-28-358

Filed Via Certified Mail

Rec. No.: _7000 1670 0000 7131 1851

On: <u>December 10, 2002</u>

Fric & Maldon

Any fee date as a result of this paper, not covered by an enclosed check, may be charged on Deposit Acct. No.50-1290.

Attorney Docket No. 021440-89775 (3198/DBR)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor:

Benedikt AHLERS, et al.

Serial No.:

09/899,742

Filed:

July 4, 2001

Title:

HAND SENSOR FOR AUTHENTICITY...

Examiner:

Art Unit:

December 10, 2002

Assistant Commissioner for Patents Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

SIR:

In order to comply with discretionary rules 37 CFR §§1.97 and 1.98, attached hereto is a copy of Form PTO-1449 and copies of the documents listed thereon. These documents contain information in which the Examiner may consider to be important in deciding whether to issue a patent in the instant application.

Also attached is a copy of an International Search Report dated September 19, 2002 from the corresponding International Patent Application. All of the documents listed in Form PTO-1449 appear in the International Search Report.

As one of these documents is written in a language other than English, an English Language translation is annexed thereto explaining its relevancy in accordance with 37 CFR §1.98(a)(3).

Each item of information contained in the information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement, so no fee is due.

The present Information Disclosure Statement is being submitted in compliance with 37 §CFR 1.56 as an Examiner might consider any cited document important in deciding whether to allow the application to issue as a patent, but the citation of each document is not to be construed as an admission that such document is necessarily relevant or prior art. No representation is intended that the cited documents represent the results of a complete search, and it is anticipated that the Examiner in the normal course of examination, will make an independent search and will determine the best prior art consistent with 37 CFR 1.104 (a), and in the course of such search will review for relevance every document cited on the attached form even if not initialed.

Early and favorable consideration is respectfully solicited.

Any fee due with this paper may be charged on Deposit Account 50-1290.

Respectfully submitted

Shahan Islam

Reg. No. 32,507

Katten Muchin Zavis Rosenman 575 MADISON AVENUE 15TH FLOOR NEW YORK, NEW YORK 10022-2585 (212) 940-8800 DOCKET NO. 021440-89775(BDR/3198) Presented type a plus sign (+) → +

Patent and Trademark Office U.S. DEPARTMENT OF COMMERCE

Examiner Name

U.S. Department of Commerce Patent and Trademark Office

INFORMATION DISCLOSURE
STATEMENT BY APPLICANT
Sheet 1 of 1

Application No.	: 09/899,742	_
Filing Date	: July 4, 2001	_
First Named Inven	tor: B. AHLERS	_
Group Art Unit	•	_

Attorney Docket No.: 3198/BDR

Examiner	Cite	U.S. Patent Document	U.S. PATE	NT DOCUMENTS		
Initials	No.1		Kind Code if known ²	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD- YYYY	Pages, Columns Lines Where Relevant Passages or Relevant Figures Appear
		4,591,707		STENZEL, et al.	05/27/1986	Арреа
		5,541,012		OHWAKI, et al.	07/30/1996	

Cia- NI I		CIULIOIA	DOCUMENTS		
Cite No. ¹	Foreign Patent Document Office ³ Number ⁴ Kind Code ⁵ (if known)	Country	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YY/Y	Pages, Columns Lines Where Relevant Passages or Relevant Figures
	2 642 545	FR	GALLIA DIFFUSION SERVICE S.A.	08/03/1990	Appear
-		Office ³ Number ⁴ Kind Code ⁵	Document Office ³ Number ⁴ Kind Code ³ (if known)	Document Office ³ Number ⁴ Kind Code ⁵ (if known) 2 642 545 FR GALLIA DIFFUSION	Document Office ³ Number ⁴ Kind Code ⁵ (if known) Of Cited Document Of Cited Document Of Cited Document MM-DD-YY/Y 2 642 545 FR GALLIA DIFFUSION 08/03/1990

Other Prior Art-Non Patent Literature Documents

Examiner Cite No.		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), data, page(s), volume-issue number(s), publisher, country, where published, source.	Applicant check her if English language translation attached	
		SAC CHAC JEOUNG et al., "OBSERVATION OF SUPERFLUORESCENCE FROM PI-CONJUGATED POLYMERIC THIN FILMS PROBED BY FLUORESCEC UP-CONVERSION METHOD" Quantam Electronics and Laser Science Conference. (QUELS 2000) Technical Digest. Postconference Edition, San Francisco, CA May 7-12, 2000 New York, IEEE, US; BD. 40: pp. 194-195 XP001051939 ISBN: 0-7803-5992-5		
Examiner				
Signature		Date Considered		

applicant. Under the construction of the conformance of the conformance with MPEP 609. Draw a line through citation if not in conformance and not considered. Include copy of this form with next communication to

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³ Enter Office that Issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ³ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.1 ⁴ if possible. ⁴ Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take .2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer. Patent and Trademark Office, Washington, DC 20231. Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of Information onless it displays a valid OMB control number. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.